PTO/SB/08a (07-09)

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INFORMATION DISCLOSURE

Substitute for form 1449/PTO

Sheet

STATEMENT BY APPLICANT

of 3

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Complete if Known					
Application Number	10/633,937				
Filing Date	08/04/2003				
First Named Inventor	Johnson, Jack J.				
Art Unit	3628				
Examiner Name	Borissov, Igor N.				
Attornov Docket Number					

Examiner	Cite	Document Number	U. S. PATENT D Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
nitials*	Cite No.1	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
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FOREIGN PATENT DOCUMENTS								
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Ī		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶		
	1	WO 01/33464 A1	05-10-2001	Solomon				
	2	WO 01/06432 A1	01-25-2001	Sick, et al.				
	3	WO 01/41279 A1	06-07-2001	Cazalet, et al.				
	4	WO 01/28063 A1	04-19-2001	Cazalet, et al.				
	5	WO 00/58805 A3	10-05-2000	Blake, et al.				
	6	WO 00/38093	06-29-2000	Lutnick, et al.				

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/633,937 Filing Date 08/04/2003 INFORMATION DISCLOSURE First Named Inventor Johnson, Jack J. STATEMENT BY APPLICANT Art Unit 3628 (Use as many sheets as necessary) **Examiner Name** Borissov, Igor N. Attorney Docket Number Sheet 2

U. S. PATENT DOCUMENTS								
Examiner Initials*	Cite Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear			
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶		
	7	WO 98/26363	06-18-1998	Fraser, et al.				
	8	EP 0 893 775 A1	01-27-1999	Takriti, et al.				
	9	EP 0 886 362 A1	12-23-1998	Maruyama, et al.				
	10	EP 0 571 889 A2	12-01-1993	Alfonso Ronda, et al.				
	11	EP 0 411 748 A2	02-06-1991	Scholldorf				
	12	JP 2001-184433	07-06-2001	Mivata, et al.				

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STA	STATEMENT BY APPLICANT			First Named Inventor	Johnson, Jack J.	
	///	4		Art Unit	3628	
	(Use as many she	eets as n	ecessary)	Examiner Name	Borissov, Igor N.	
Sheet	3	of	3	Attorney Docket Number	********	フ

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	13	R. Engle, et al., "A Comparison of Adaptive Structural Forecasting Methods for Electricity Sales," Journal of Forecasting, Vol. 7, pages 149-172 (1988).	
	14	W. Hogan, "Contract Networks for Electric Power Transmission," Journal of Regulatory Economics, Vol. 4, No. 3, pages 211-242 (September 1992).	
	15	E. Kahn and S. Stoft, "Organization of Bulk Power Markets: A Concept Paper," Lawrence Berkeley National Laboratory - University of California Berkeley, pp. 9-11 (Dec. 1995).	
	16	E. Cazalet, et al., "The Power Market: E-Commerce for All Electricity Products," Public Utilities Fortnightly (February 1, 2000).	

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